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7 **EASTMAN**

8 **STATE BAR COURT OF CALIFORNIA**
9 **HEARING DEPARTMENT – LOS ANGELES**

11 In the Matter of:

12 **JOHN CHARLES EASTMAN,**

13 State Bar No. 193726,

14 An Attorney of the State Bar.

CASE NO.: SBC-23-O-30029

**RESPONDENT’S MOTION FOR
ABATEMENT OF STATE BAR
DISCIPLINARY PROCEEDING;
DECLARATION OF ZACHARY MAYER**

[State Bar Rule of Procedure 5.50]

[Filed Concurrently with Request for Judicial
Notice]

19
20 **TO THE COURT, THE OFFICE OF CHIEF TRIAL COUNSEL OF THE STATE BAR OF**
21 **CALIFORNIA (“OCTC”) AND TO ITS DEPUTY TRIAL COUNSEL OF RECORD:**

22 Respondent JOHN CHARLES EASTMAN (“Respondent” or “Dr. Eastman”) hereby moves
23 the Court for an order abating the above-captioned State Bar disciplinary proceeding pending
24 resolution of the ongoing federal criminal investigation into an alleged conspiracy involving the
25 efforts by former President Donald Trump (“Trump”) and six unnamed co-conspirators to challenge
26 the results of the 2020 presidential election. Alternatively, Respondent seeks a stay of this
27 proceeding for three months to allow for an updated assessment of the scope of the ongoing
28

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**STATE BAR COURT
CLERK’S OFFICE
LOS ANGELES**

1 investigation, including the recent federal grand jury indictment against Trump, as well as the
2 likelihood that formal charges will be brought against Respondent.

3 Respondent's motion is based on the Memorandum of Points and Authorities set forth below,
4 the accompanying Declaration of Zachary Mayer and exhibit thereto, the concurrently-filed Request
5 for Judicial Notice, the records and file herein, and on such other oral and documentary evidence as
6 may be presented prior to the Court rendering a decision on the Motion.

7 Dated: August 4, 2023

MILLER LAW ASSOCIATES, APC

8
9 By: _____

Randall A. Miller, Esq.

Zachary Mayer, Esq.

Attorneys for **Respondent JOHN C. EASTMAN**

MEMORANDUM OF POINTS AND AUTHORITIES

I. INTRODUCTION AND PRELIMINARY STATEMENT

Respondent requests that the Court exercise its discretion to stay the State Bar’s disciplinary proceeding against him pending resolution of a parallel criminal investigation being conducted by Special Counsel Jack Smith and any trial or other proceedings that may result from that investigation. Alternatively, Respondent seeks a three-month stay of this proceeding while the investigation continues to unfold. A stay is warranted in the interests of justice, as recent developments in the investigation have renewed and intensified Respondent’s concerns that the federal government might bring charges against him and that he will therefore need to invoke his Fifth Amendment right against self-incrimination in this proceeding.

By way of background, the House Select Committee to investigate the events of January 6, 2021, conducted an 18-month investigation that involved numerous public hearings and led to the release of a final report on December 22, 2022. Based on information obtained during the course of that investigation and other investigations by the Department of Justice, on August 1, 2023, a federal grand jury in Washington, D.C., issued an indictment against Trump that targets him and six unnamed co-conspirators. (Declaration of Zachary Mayer [“Mayer Decl.”], ¶ 2, Exh. 1 and Request for Judicial Notice.) The indictment accuses defendant Trump and his alleged co-conspirators of participating in several efforts to block the transfer of power to Joe Biden in response to the 2020 election results. Numerous media outlets immediately began speculating that Respondent is one of the co-conspirators based on details contained in the indictment. The indictment charges Trump with four federal counts: conspiracy to defraud the United States, conspiracy to obstruct an official proceeding, obstruction of and attempt to obstruct an official proceeding, and conspiracy against rights. (*Id.* at pg. 1.)

The indictment heightens the potential for Respondent to be charged as a criminal defendant. Conspicuously, the charging document does not refer to the unnamed co-conspirators as “*unindicted* co-conspirators” – a term commonly used to refer to a person who allegedly took part in a conspiracy to commit a crime, but who is not officially charged. (Mayer Decl., Exh. 1, *in*

1 *passim*.) This omission, among other things, raises the specter of a superseding indictment being
2 handed up in the future naming one or more co-conspirators as additional defendants.¹ Indeed, the
3 issuance of superseding indictments that change the status of co-conspirators from “unindicted” to
4 “indicted” is accepted practice. (See, e.g., *United States v. Briscoe* (D.D.C. Aug. 14, 1992), No.
5 Crim. 91-399, SSH, Crim. 92-86-SSH, , aff’d, 26 F.3d 142 [“Both Briscoe and Steier were
6 unindicted coconspirators in the [Office of Independent Counsel’s] second superseding indictment
7 and are named as indicted coconspirators in the third superseding indictment.”]; *In re Grand Jury*
8 *Proceedings* (D.D.C. 1999) 201 F. Supp.2d 5, 12, fn. 4 [“The United States represents that the
9 further grand jury investigation may yield future indictments of unindicted co-conspirators or
10 superseding indictments based on new information.”].)

11 When there are parallel criminal and civil proceedings, the defendant faces the difficult
12 choice of asserting his Fifth Amendment right at the risk of losing a non-criminal trial (here, a
13 disciplinary proceeding), or waiving his constitutional right against self-incrimination. Courts have
14 recognized the need to stay civil proceedings to avoid prejudicing the defendant's rights.

15 Here, abatement is warranted in the interests of justice and should be granted for the
16 following reasons:

17 First, a stay is necessary to safeguard Respondent’s Fifth Amendment rights.

18 Second, abatement will not prejudice the State Bar.

19 Third, the burden on Respondent of having to simultaneously defend himself in this
20 proceeding and a concurrent criminal case - the likelihood of which has increased in the wake of the
21 Trump indictment - would be severe.

22 Fourth, the convenience of the Court in the management of its cases, and the efficient use of
23 judicial resources (to avoid issues involving the Fifth Amendment) warrants a stay.

24 _____
25 ¹ This is the opinion of legal analyst Ty Cobb, who is quoted in the USA Today article,
26 *Trump’s election indictment doesn’t name his alleged co-conspirators. These are most likely who*
27 *they are*, available here:

28 <https://www.usatoday.com/story/news/politics/2023/08/02/trumps-alleged-co-conspirators-jan-6-indictment/70509983007/>

1 Last, it is in the public's interest that a criminal case receive precedence over this disciplinary
2 proceeding. In the meantime, consideration must also be given to Respondent's right to defend
3 himself in a State Bar proceeding that is uncomplicated by Fifth Amendment issues and without
4 Respondent having to divide his time, attention, and resources between two different actions.

5 Accordingly, Respondent respectfully requests that the Court stay this proceeding pending
6 resolution of the parallel federal criminal investigation and, depending on the outcome of the
7 investigation, any possible resultant criminal trial. Alternatively, Respondent requests a three-month
8 stay to allow for further assessment at that time.²

9 II. LEGAL STANDARD

10 Under State Bar Rule of Procedure 5.50(A), "On any party's motion or on its own motion
11 after notice to the parties, the Court may abate any proceeding in whole or in part. Abatement stays
12 the proceeding in the State Bar Court" Rule of Procedure 5.50(B) permits consideration of any
13 relevant factor in determining whether to grant a motion for abatement, including the extent to
14 which:

15 (1) the issues in the proceeding are substantially the same as in a related proceeding;

16 (2) the proceeding would probably be delayed by waiting for the trial or an appeal in a related
17 proceeding;

18 (3) the proceeding would probably be expedited by waiting for the disposition in a related
19 proceeding;

20 (4) evidence to be adduced in a related proceeding would aid in determining the proceeding;

21 (5) evidence may become unavailable because of any delay;

22 (6) parties, witnesses, or documents are currently unavailable for reasons beyond the parties'
23 control; and

24
25 ² The fact that Dr. Eastman's trial testimony is already underway is no bar to
26 abatement. Importantly, the critical events outlined in the Trump indictment—the alternate electors
27 and Respondent's advice regarding the Vice President's role and authority regarding the electoral
28 process — have not yet been broached during Bar counsel's examination of Respondent.

1 (7) a party or witness may be prejudiced in a related proceeding by delaying or proceeding
2 with further action.

3 It is well recognized that a court may, in its discretion, stay civil proceedings when the
4 interests of justice so require. (*Keating v. Office of Thrift Supervision* (9th Cir. 1995) 45 F.2d 322,
5 324.) Though not required by the Constitution, a court may stay civil proceedings or postpone civil
6 discovery pending the outcome of parallel criminal proceedings. (*Id.*; see also *Fed. Savings & Loan*
7 *Ins. Corp. v. Molinaro* (9th Cir. 1989) 889 F.2d 899, 902.)

8 District courts have formulated similar multi-factor tests to decide whether to a grant a stay
9 of a parallel civil proceeding. The Ninth Circuit’s test primarily considers the extent to which the
10 defendant’s Fifth Amendment rights are implicated, as well as the following additional factors: (1)
11 the interest of the plaintiff in proceeding expeditiously with the litigation or any particular aspect of
12 it, and the potential prejudice to the plaintiff of a delay; (2) the burden which any particular aspect
13 of the proceedings may impose on the defendant; (3) the convenience of the court in the management
14 of its cases, and the efficient use of judicial resources; (4) the interests of persons not parties to the
15 civil litigation; and (5) the interest of the public in the pending civil and criminal litigation. (*Keating*,
16 *supra*, 45 F.3d at 324.)³

17 This inquiry is highly fact-bound. According to the Second Circuit, “[t]hese tests,
18 ... no matter how carefully refined, can do no more than act as a rough guide for the [trial] court as
19 it exercises its discretion. They are not mechanical devices for churning out correct results in
20 overlapping civil and federal proceedings, replacing the [trial] court’s studied judgment as to
21 whether the civil action should be stayed based on the particular facts before it and the extent to
22 which such a stay would work a hardship, inequity, or injustice to a party, the public or the court.”
23 (*Louis Vuitton Malletier v. LY USA* (2nd Cir. 2012) 676 F.3d 83, 99; see, e.g., *Microfinancial, Inc.*

24 _____
25 ³ The tests applied to civil actions also apply to disciplinary proceedings. (See, e.g., *Maze*
26 *v. Kentucky Judicial Conduct Commission* (Ky. 2019) 575 S.W.3d 204, 211 [“Although we deal
27 here with parallel JCC and criminal prosecution whereas Lehmann (the basis for the multi-factor
“Lehmann test”) dealt with parallel civil and criminal prosecution, the distinction is immaterial for
applying the Lehmann factors as a helpful guide in determining whether Judge Maze’s JCC
proceedings should be deferred until resolution of her criminal prosecution as she has requested.”].)

1 *v. Premier Holidays Intern'l, Inc.* (1st Cir. 2004) 385 F.3d 72, 78 [“The decision to grant or deny
2 such a stay involves competing interests. Balancing these interests is a situation-specific task, and
3 an inquiring court must take a careful look at the idiosyncratic circumstances of the case before
4 it.”].)

5 **III. ARGUMENT**

6 Application of the above standards here demonstrates that the interests of justice require
7 abatement for the following reasons:

8 **A. A Stay Is Needed Due to the Implication of Respondent’s Fifth Amendment Rights**

9 Both this proceeding and the criminal investigation arise from the same underlying matter –
10 i.e., the facts and circumstances surrounding Trump’s conduct in challenging the 2020 election
11 results. A stay is proper because if this proceeding moves forward, Respondent “will be faced with
12 the difficult choice between asserting his ... right against self-incrimination, thereby inviting
13 prejudice in the civil case, or waiving those rights, thereby courting liability in the criminal case.”
14 (*Javier H. v. Garcia-Botello* (W.D.N.Y 2003) 218 F.R.D. 72, 75.)⁴

15 A fundamental principle of both California and federal law is the right not to be a witness
16 against oneself. That right is recognized in the Fifth Amendment to the United States Constitution,
17 Article 1 Section 15 of the California Constitution, and Evidence Code section 940, which provides
18 that “[t]o the extent that such privilege exists under the Constitution of the United States or the State
19 of California, a person has a privilege to refuse to disclose any matter that may tend to incriminate
20 him.” The fact that Dr. Eastman is likely to be placed in a position in which he must choose whether
21 to assert or waive his Fifth Amendment rights presents a profoundly significant constitutional issue.

22 The rule is clear in California that “[a] party asserting the Fifth Amendment privilege should
23 suffer no penalty for his silence.” (*Pacers, Inc. v. Sup. Ct.* (1984) 162 Cal.App.3d 686, 689.) “In
24 this context ‘penalty’ is not restricted to fine or imprisonment,” but includes “the imposition of any

25 ⁴ These facts also bear on the factors for abatement described in Rule 5.50(B)(1) [whether
26 both proceedings involve substantially the same issues] and 5.50(B)(6) [whether witnesses will be
27 unavailable for reasons beyond the parties’ control]. Here Dr. Eastman’s “unavailability” would
28 result as a legal and practical matter from asserting his constitutional right against self-incrimination.

1 sanction which makes assertion of the Fifth Amendment privilege ‘costly.’ ” (*Id.*; citation omitted).
2 Indeed, this principle is explicitly codified in California at Evidence Code section 913, which
3 provides that upon the assertion of a privilege “neither the presiding officer nor counsel may
4 comment thereon, no presumption shall arise because of the exercise of the privilege, and the trier
5 of fact may not draw any inference therefrom.”

6 The civil defendants in *Pacers* were sued for assault and battery. A federal grand jury
7 refused to indict them for criminal assault and battery, but the United States Attorney decided to
8 keep the file “open.” The defendants asserted their Fifth Amendment privilege at their depositions.
9 The plaintiffs then moved the court for an order precluding the defendants from testifying at trial
10 because they had failed to answer deposition questions. The trial court granted their motion but the
11 Court of Appeal reversed, holding that the defendants were entitled to invoke their Fifth Amendment
12 privilege against self-incrimination at their civil depositions. The Court also held that staying
13 discovery until the expiration of the criminal statute of limitations was appropriate under these
14 circumstances.

15 “Courts recognize the dilemma faced by a defendant who must choose between defending
16 the civil litigation by providing testimony that may be incriminating on the one hand, and losing the
17 case by asserting the constitutional right and remaining silent, on the other hand.” (*Fuller v. Sup.*
18 *Ct.* (2001) 87 Cal.App.4th 299, 306.) Requiring parties to litigation to “choose between their silence
19 and a meaningful chance of avoiding the loss through judicial process of a substantial amount of
20 property” violates the principle that persons should not be penalized for asserting the Fifth
21 Amendment privilege. (*Pacers, Inc. supra*, 162 Cal.Ap.3d at 689 [citation omitted].) To the extent
22 Respondent is under threat of criminal prosecution, implicating his Fifth Amendment rights,
23 abatement of the disciplinary proceeding is necessary to protect his rights and is within the Bar
24 Court's discretion to order.

25 In view of the importance of the privilege against self-incrimination, courts in civil cases
26 have the inherent authority and discretion to stay a pending civil action until such time as the need
27 to invoke the privilege is eliminated. (*Fuller, supra*, 87 Cal.App.4th at 307 “[o]ne accommodation
28

1 is to stay the civil proceeding until disposition of the related criminal prosecution”].) It is, of course,
2 well-settled that “[j]udges also have broad discretion in controlling the course of discovery and in
3 making the various decisions necessitated by discovery proceedings.” (*Obregon v. Sup. Ct.* (1998)
4 67 Cal.App.4th 424, 431.) The Court's wide discretion in controlling discovery extends to ordering
5 a partial or complete stay of discovery under circumstances such as those present here, where a civil
6 action coexists with an actual or potential criminal action based on related facts. As recognized by
7 the *Pacers* court:

8
9 Where, as here, a defendant's silence is constitutionally guaranteed, the court should
10 weigh the parties' competing interests with a view toward accommodating the
11 interests of both parties, if possible. An order staying discovery until expiration of
the criminal statute of limitations would allow real parties to prepare their lawsuit
while alleviating petitioners' difficult choice between defending either the civil or
criminal case.

12 This remedy is in accord with federal practice where it has been consistently held
13 that when both civil and criminal proceedings arise out of the same or related
14 transactions, an objecting party is generally entitled to a stay of discovery in the civil
15 action until disposition of the criminal matter. The rationale of the federal cases is
16 based on Fifth Amendment principles as well as the inherent unfairness of
17 compelling disclosure of a criminal defendant's evidence and defenses before trial.
Under these circumstances, the prosecution should not be able to obtain, through the
medium of the civil proceedings, information to which it was not entitled under the
criminal discovery rules. Here, although petitioners are not criminal defendants, they
are nevertheless threatened with criminal prosecution. To allow the prosecutors to
monitor the civil proceedings hoping to obtain incriminating testimony from
petitioners through civil discovery would not only undermine the Fifth Amendment
privilege but would also violate concepts of fundamental fairness.

18
19 (*Pacers, Inc. supra*, 162 Cal.Ap.3d at 689 [citation omitted].)

20 “It is well established that in a criminal trial a judge or prosecutor may not suggest that the
21 jury draw an adverse inference from a defendant's failure to testify.” (*United States v. Solano-*
22 *Godines* (9th Cir. 1997) 120 F.3d 957, 962.) “However, in civil proceedings adverse inferences can
23 be drawn from a party's invocation of this Fifth Amendment right ... when independent evidence
24 exists of the fact to which the party refuses to answer.” (*Doe ex rel. Rudy-Glanzer v. Glanzer* (9th
25 Cir. 2000) 232 F.3d 1258, 1264 (9th Cir. 2000) [citing *Baxter v. Palmigiano* (1976) 425 U.S. 308.]

26 Examples of cases in which courts have granted stays when criminal investigators provide
27 notice that criminal and civil suits involve overlapping issues are legion. (*See, e.g., Ellis v. Kirkman*
28

(D.S.C. Oct. 16, 2020) No. 9:19-cv-2163-RMG-MGB, 2020 WL 6587062, at *2 [granting a stay where the FBI informed defendant that it was commencing an investigation of defendant's use of force during an arrest, an event that gave rise to a parallel civil suit]; *Walsh v. Sec. v. Cristo Prop. Mgmt.* (D.N.J. 1998) 7 F.Supp.2d 523, 526 [granting a stay after the government “submitted a sealed, *in camera* affidavit elaborating on the status of the criminal investigation”].)

Further, pre-indictment stays are a frequent occurrence. (*See, e.g. S.E.C. v. Offill* (N.D. Tex. Apr. 9, 2008) No. 07-1643, 2008 WL 958072, at *3 [civil action with regard to individuals under criminal investigation, but not yet indicted, was stayed]; *Warren v. Geller* (E.D. La. Apr. 9, 2013) 2013 WL 1455688, at *2 [same]; *United States v. \$144,210.77 in Funds Seized from Suntrust Bank Account*, (N.D. Ga. 2014) 63 F.Supp. 3d 1387, 1390-91 [same]; *SEC v. Mutuals.com, Inc.*, No. 3:03-CV-2912, 2004 WL 1629929, at *3 (N.D. Tex. July 20, 2004)[“[S]ome courts have stayed discovery where a party in the civil case was only threatened with criminal prosecution.”]; *Waste Mgmt. of La., LLC v. River Birch, Inc.* (E.D. La. Feb 15, 2012) No. CIV. A. 11-2405, 2012 WL 520660, at *4 [finding the second factor weighed in favor of stay where defendants were “the targets of an ongoing federal criminal investigation and [] co-conspirators have been indicted and/or have plead guilty”]; *Lopez v. Suhr* (N.D. Cal. Apr. 26, 2016) 2016 U.S. Dist. LEXIS 55694 [partial discovery stay while San Francisco District Attorney's Office conducted criminal investigation of officer involved shooting]; *Chao v. Fleming* (W.D. Mich. 2007) 498 F.Supp.2d 1034, 1041 [issuing three-month pre-indictment stay of entire action]; *Kashi v. Gratsos* (2nd Cir. 1986) 790 F.2d 1050, 1057 [trial court properly exercised discretion in delaying civil trial until U.S. Attorney declined to prosecute]; *U.S. v. Certain Real Property & Premises* (E.D.N.Y. 1989) 751 F. Supp. 1060, 1062 [pre-indictment stay appropriate where possibility defendant might be forced to incriminate herself was neither “ ‘fanciful’ nor ‘imaginary’ ”]; *Brock v. Tolkow* (E.D.N.Y. 1985) 109 F.R.D. 116, fn. 2, 121 [stay of all civil discovery pending outcome of criminal investigation]; *Walsh Sec., Inc. v. Cristo Prop. Mgmt. Ltd.* (D.N.J. 1998) 7 F.Supp.2d 523, 526-27 [partial stay of discovery based on U.S. Attorney's Office investigation]; *Am. Motorists Ins. Co. v. Bridger Pub. Sch. Dist. # 2* (D. Mont. 2007) 2007 U.S. Dist. LEXIS 30140, 8-10 [granting pre-indictment stay of discovery based on

1 active parallel criminal investigation conducted by United States]; *Shell Offshore v. Courtney* (E.D.
2 La. 2006) 2006 U.S. Dist. LEXIS 49973, 2-4 [one-year pre-indictment stay of discovery appropriate
3 based on pending grand jury investigation].)

4 Accordingly, this factor weighs in favor of abating this proceeding.

5 **B. The Other *Keating* Factors Warrant Abatement**

6 1. No Prejudice Will Befall the State Bar

7 The State Bar's interests will not be prejudiced by a stay. There is no ongoing activity that
8 needs to be abated because Respondent's alleged conduct was restricted, both temporally and
9 situationally, to events surrounding the 2020 election. The State Bar did not file its Notice of
10 Disciplinary Charges until January 26, 2023, which reflects the lack of urgency on its part. As
11 discovery is complete, there is no danger that evidence needed for trial will be lost or unpreserved.
12 Also, abatement will likely eliminate potential motion practice involving Fifth Amendment issues.
13 Thus, abatement will not prejudice the State Bar.⁵

14 2. The Burden on Respondent of Simultaneously Defending this Proceeding and a
15 Criminal Action Will Be Severe

16 The burden on Respondent of simultaneously maintaining defenses in this proceeding and
17 a criminal action (the possibility of which has increased significantly with the issuance of the
18 Trump indictment) is significant, particularly in light of Fifth Amendment considerations. As this
19 Court knows, trial in this matter is anticipated to continue until at least September. If it is not
20 stayed, Respondent may be faced with the costly and time-consuming burden of defending two
21 separate actions at the same time. This burden weighs in favor of granting a stay.

22 ///

23 ///

26 ⁵ The same showing satisfies the standards for abatement set forth in Rule
27 5.50(B)(5)[whether evidence may become unavailable if a matter is abated] and (7)[whether
28 abatement would prejudice the State Bar].

3. The Convenience of the Court in the Management of Its Cases, and the Efficient Use of Judicial Resources, Favor Abatement

Unless this proceeding is abated, Respondent anticipates that he will need to seek rulings from the Court and perhaps other relief relating to issues affecting his Fifth Amendment rights. Thus, staying the case makes efficient use of judicial resources by insuring “civil discovery will proceed unobstructed by concerns regarding self-incrimination.” (*Javier H.*, *supra*, 218 F.R.D. at 75.)

4. The Interests of Persons Not Parties to the Civil Litigation and the Public Interest

Respondent anticipates the State Bar may argue that public outcry demands that his disciplinary trial resume as scheduled. The public’s interest in this matter, however, is in a fair trial and, if Respondent is indeed culpable—a position which he continues to vigorously dispute—the imposition of appropriate sanctions. If Respondent is eventually charged in the now-pending criminal matter against Trump, the public’s interest would be vindicated by that trial, whether he is convicted or exonerated. In the meantime, consideration must also be given to Respondent’s right to defend himself in a disciplinary proceeding that is not encumbered by Fifth Amendment issues and without Respondent having to divide his time, attention, and resources between two separate matters. Therefore, abatement is necessary because “the public’s interest in the integrity of the criminal case is entitled to precedence over the civil litigant.” (*Javier H.*, *supra*, 218 F.R.D. at 75.)

IV. CONCLUSION

Based on the foregoing, Respondent respectfully requests that the Court stay this proceeding pending resolution of the parallel federal criminal investigation and any resulting criminal trials or other proceedings that follow. Alternatively, Respondent seeks a three-month stay so that the need for abeyance can be further assessed at that time.

Dated: August 4, 2023

MILLER LAW ASSOCIATES, APC

By: _____


Randall A. Miller, Esq.
Zachary Mayer, Esq.

Attorneys for Respondent **JOHN C. EASTMAN**

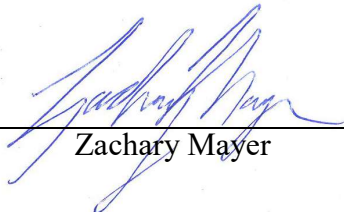
DECLARATION OF ZACHARY MAYER

I, Zachary Mayer, Esq. hereby declare:

1. I am an attorney licensed to practice before all courts of the State of California, and am employed by Miller Law Associates, APC, counsel of record for Respondent JOHN C. EASTMAN in this action. I have personal knowledge of the facts set forth herein, and I could and would competently testify to those facts if called as a witness.

2. Attached hereto as **Exhibit 1** is a true and correct copy of the four-count federal grand jury indictment against former president Donald J. Trump dated August 1, 2023. I accessed this document from the Department of Justice website on August 2, 2023, using the following link: https://www.justice.gov/storage/US_v_Trump_23_cr_257.pdf.

I declare under penalty of perjury and the laws of the State of California that the foregoing is true and correct and that this declaration was executed this 4th day of August, 2023 at Los Angeles, California.



Zachary Mayer

Exhibit 1

**IN THE UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF COLUMBIA**

UNITED STATES OF AMERICA

v.

DONALD J. TRUMP,

Defendant.

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CRIMINAL NO.

GRAND JURY ORIGINAL

VIOLATIONS:

**Count 1: 18 U.S.C. § 371
(Conspiracy to Defraud the United
States)**

**Count 2: 18 U.S.C. § 1512(k)
(Conspiracy to Obstruct an Official
Proceeding)**

**Count 3: 18 U.S.C. §§ 1512(c)(2), 2
(Obstruction of and Attempt to
Obstruct an Official Proceeding)**

**Count 4: 18 U.S.C. § 241
(Conspiracy Against Rights)**

INDICTMENT

The Grand Jury charges that, at all times material to this Indictment, on or about the dates and at the approximate times stated below:

INTRODUCTION

1. The Defendant, **DONALD J. TRUMP**, was the forty-fifth President of the United States and a candidate for re-election in 2020. The Defendant lost the 2020 presidential election.

2. Despite having lost, the Defendant was determined to remain in power. So for more than two months following election day on November 3, 2020, the Defendant spread lies that there had been outcome-determinative fraud in the election and that he had actually won. These claims were false, and the Defendant knew that they were false. But the Defendant repeated and widely

disseminated them anyway—to make his knowingly false claims appear legitimate, create an intense national atmosphere of mistrust and anger, and erode public faith in the administration of the election.

3. The Defendant had a right, like every American, to speak publicly about the election and even to claim, falsely, that there had been outcome-determinative fraud during the election and that he had won. He was also entitled to formally challenge the results of the election through lawful and appropriate means, such as by seeking recounts or audits of the popular vote in states or filing lawsuits challenging ballots and procedures. Indeed, in many cases, the Defendant did pursue these methods of contesting the election results. His efforts to change the outcome in any state through recounts, audits, or legal challenges were uniformly unsuccessful.

4. Shortly after election day, the Defendant also pursued unlawful means of discounting legitimate votes and subverting the election results. In so doing, the Defendant perpetrated three criminal conspiracies:

- a. A conspiracy to defraud the United States by using dishonesty, fraud, and deceit to impair, obstruct, and defeat the lawful federal government function by which the results of the presidential election are collected, counted, and certified by the federal government, in violation of 18 U.S.C. § 371;
- b. A conspiracy to corruptly obstruct and impede the January 6 congressional proceeding at which the collected results of the presidential election are counted and certified (“the certification proceeding”), in violation of 18 U.S.C. § 1512(k); and
- c. A conspiracy against the right to vote and to have one’s vote counted, in violation of 18 U.S.C. § 241.

Each of these conspiracies—which built on the widespread mistrust the Defendant was creating through pervasive and destabilizing lies about election fraud—targeted a bedrock function of the United States federal government: the nation’s process of collecting, counting, and certifying the results of the presidential election (“the federal government function”).

COUNT ONE
(Conspiracy to Defraud the United States—18 U.S.C. § 371)

5. The allegations contained in paragraphs 1 through 4 of this Indictment are re-alleged and fully incorporated here by reference.

The Conspiracy

6. From on or about November 14, 2020, through on or about January 20, 2021, in the District of Columbia and elsewhere, the Defendant,

DONALD J. TRUMP,

did knowingly combine, conspire, confederate, and agree with co-conspirators, known and unknown to the Grand Jury, to defraud the United States by using dishonesty, fraud, and deceit to impair, obstruct, and defeat the lawful federal government function by which the results of the presidential election are collected, counted, and certified by the federal government.

Purpose of the Conspiracy

7. The purpose of the conspiracy was to overturn the legitimate results of the 2020 presidential election by using knowingly false claims of election fraud to obstruct the federal government function by which those results are collected, counted, and certified.

The Defendant's Co-Conspirators

8. The Defendant enlisted co-conspirators to assist him in his criminal efforts to overturn the legitimate results of the 2020 presidential election and retain power. Among these were:

- a. Co-Conspirator 1, an attorney who was willing to spread knowingly false claims and pursue strategies that the Defendant's 2020 re-election campaign attorneys would not.
- b. Co-Conspirator 2, an attorney who devised and attempted to implement a strategy to leverage the Vice President's ceremonial role overseeing the

certification proceeding to obstruct the certification of the presidential election.

- c. Co-Conspirator 3, an attorney whose unfounded claims of election fraud the Defendant privately acknowledged to others sounded “crazy.” Nonetheless, the Defendant embraced and publicly amplified Co-Conspirator 3’s disinformation.
- d. Co-Conspirator 4, a Justice Department official who worked on civil matters and who, with the Defendant, attempted to use the Justice Department to open sham election crime investigations and influence state legislatures with knowingly false claims of election fraud.
- e. Co-Conspirator 5, an attorney who assisted in devising and attempting to implement a plan to submit fraudulent slates of presidential electors to obstruct the certification proceeding.
- f. Co-Conspirator 6, a political consultant who helped implement a plan to submit fraudulent slates of presidential electors to obstruct the certification proceeding.

The Federal Government Function

9. The federal government function by which the results of the election for President of the United States are collected, counted, and certified was established through the Constitution and the Electoral Count Act (ECA), a federal law enacted in 1887. The Constitution provided that individuals called electors select the president, and that each state determine for itself how to appoint the electors apportioned to it. Through state laws, each of the fifty states and the District of Columbia chose to select their electors based on the popular vote in the state. After election day, the ECA required each state to formally determine—or “ascertain”—the electors who would represent the state’s voters by casting electoral votes on behalf of the candidate who had won the popular vote, and required the executive of each state to certify to the federal government the identities of those electors. Then, on a date set by the ECA, each state’s ascertained electors were required to meet and collect the results of the presidential election—that is, to cast electoral votes based on their state’s popular vote, and to send their electoral votes, along with the state executive’s

certification that they were the state's legitimate electors, to the United States Congress to be counted and certified in an official proceeding. Finally, the Constitution and ECA required that on the sixth of January following election day, the Congress meet in a Joint Session for a certification proceeding, presided over by the Vice President as President of the Senate, to count the electoral votes, resolve any objections, and announce the result—thus certifying the winner of the presidential election as president-elect. This federal government function—from the point of ascertainment to the certification—is foundational to the United States' democratic process, and until 2021, had operated in a peaceful and orderly manner for more than 130 years.

Manner and Means

10. The Defendant's conspiracy to impair, obstruct, and defeat the federal government function through dishonesty, fraud, and deceit included the following manner and means:

- a. The Defendant and co-conspirators used knowingly false claims of election fraud to get state legislators and election officials to subvert the legitimate election results and change electoral votes for the Defendant's opponent, Joseph R. Biden, Jr., to electoral votes for the Defendant. That is, on the pretext of baseless fraud claims, the Defendant pushed officials in certain states to ignore the popular vote; disenfranchise millions of voters; dismiss legitimate electors; and ultimately, cause the ascertainment of and voting by illegitimate electors in favor of the Defendant.
- b. The Defendant and co-conspirators organized fraudulent slates of electors in seven targeted states (Arizona, Georgia, Michigan, Nevada, New Mexico, Pennsylvania, and Wisconsin), attempting to mimic the procedures that the legitimate electors were supposed to follow under the Constitution and other federal and state laws. This included causing the fraudulent electors to meet on the day appointed by federal law on which legitimate electors were to gather and cast their votes; cast fraudulent votes for the Defendant; and sign certificates falsely representing that they were legitimate electors. Some fraudulent electors were tricked into participating based on the understanding that their votes would be used only if the Defendant succeeded in outcome-determinative lawsuits within their state, which the Defendant never did. The Defendant and co-conspirators then caused these fraudulent electors to transmit their false certificates to the

Vice President and other government officials to be counted at the certification proceeding on January 6.

- c. The Defendant and co-conspirators attempted to use the power and authority of the Justice Department to conduct sham election crime investigations and to send a letter to the targeted states that falsely claimed that the Justice Department had identified significant concerns that may have impacted the election outcome; that sought to advance the Defendant's fraudulent elector plan by using the Justice Department's authority to falsely present the fraudulent electors as a valid alternative to the legitimate electors; and that urged, on behalf of the Justice Department, the targeted states' legislatures to convene to create the opportunity to choose the fraudulent electors over the legitimate electors.
- d. The Defendant and co-conspirators attempted to enlist the Vice President to use his ceremonial role at the January 6 certification proceeding to fraudulently alter the election results. First, using knowingly false claims of election fraud, the Defendant and co-conspirators attempted to convince the Vice President to use the Defendant's fraudulent electors, reject legitimate electoral votes, or send legitimate electoral votes to state legislatures for review rather than counting them. When that failed, on the morning of January 6, the Defendant and co-conspirators repeated knowingly false claims of election fraud to gathered supporters, falsely told them that the Vice President had the authority to and might alter the election results, and directed them to the Capitol to obstruct the certification proceeding and exert pressure on the Vice President to take the fraudulent actions he had previously refused.
- e. After it became public on the afternoon of January 6 that the Vice President would not fraudulently alter the election results, a large and angry crowd—including many individuals whom the Defendant had deceived into believing the Vice President could and might change the election results—violently attacked the Capitol and halted the proceeding. As violence ensued, the Defendant and co-conspirators exploited the disruption by redoubling efforts to levy false claims of election fraud and convince Members of Congress to further delay the certification based on those claims.

The Defendant's Knowledge of the Falsity of His Election Fraud Claims

11. The Defendant, his co-conspirators, and their agents made knowingly false claims that there had been outcome-determinative fraud in the 2020 presidential election. These prolific

lies about election fraud included dozens of specific claims that there had been substantial fraud in certain states, such as that large numbers of dead, non-resident, non-citizen, or otherwise ineligible voters had cast ballots, or that voting machines had changed votes for the Defendant to votes for Biden. These claims were false, and the Defendant knew that they were false. In fact, the Defendant was notified repeatedly that his claims were untrue—often by the people on whom he relied for candid advice on important matters, and who were best positioned to know the facts—and he deliberately disregarded the truth. For instance:

- a. The Defendant's Vice President—who personally stood to gain by remaining in office as part of the Defendant's ticket and whom the Defendant asked to study fraud allegations—told the Defendant that he had seen no evidence of outcome-determinative fraud.
- b. The senior leaders of the Justice Department—appointed by the Defendant and responsible for investigating credible allegations of election crimes—told the Defendant on multiple occasions that various allegations of fraud were unsupported.
- c. The Director of National Intelligence—the Defendant's principal advisor on intelligence matters related to national security—disabused the Defendant of the notion that the Intelligence Community's findings regarding foreign interference would change the outcome of the election.
- d. The Department of Homeland Security's Cybersecurity and Infrastructure Security Agency ("CISA")—whose existence the Defendant signed into law to protect the nation's cybersecurity infrastructure from attack—joined an official multi-agency statement that there was no evidence any voting system had been compromised and that declared the 2020 election "the most secure in American history." Days later, after the CISA Director—whom the Defendant had appointed—announced publicly that election security experts were in agreement that claims of computer-based election fraud were unsubstantiated, the Defendant fired him.
- e. Senior White House attorneys—selected by the Defendant to provide him candid advice—informed the Defendant that there was no evidence of outcome-determinative election fraud, and told him that his presidency would end on Inauguration Day in 2021.

- f. Senior staffers on the Defendant's 2020 re-election campaign ("Defendant's Campaign" or "Campaign")—whose sole mission was the Defendant's re-election—told the Defendant on November 7, 2020, that he had only a five to ten percent chance of prevailing in the election, and that success was contingent on the Defendant winning ongoing vote counts or litigation in Arizona, Georgia, and Wisconsin. Within a week of that assessment, the Defendant lost in Arizona—meaning he had lost the election.
- g. State legislators and officials—many of whom were the Defendant's political allies, had voted for him, and wanted him to be re-elected—repeatedly informed the Defendant that his claims of fraud in their states were unsubstantiated or false and resisted his pressure to act based upon them.
- h. State and federal courts—the neutral arbiters responsible for ensuring the fair and even-handed administration of election laws—rejected every outcome-determinative post-election lawsuit filed by the Defendant, his co-conspirators, and allies, providing the Defendant real-time notice that his allegations were meritless.

12. The Defendant widely disseminated his false claims of election fraud for months, despite the fact that he knew, and in many cases had been informed directly, that they were not true. The Defendant's knowingly false statements were integral to his criminal plans to defeat the federal government function, obstruct the certification, and interfere with others' right to vote and have their votes counted. He made these knowingly false claims throughout the post-election time period, including those below that he made immediately before the attack on the Capitol on January 6:

- a. The Defendant insinuated that more than ten thousand dead voters had voted in Georgia. Just four days earlier, Georgia's Secretary of State had explained to the Defendant that this was false.
- b. The Defendant asserted that there had been 205,000 more votes than voters in Pennsylvania. The Defendant's Acting Attorney General and Acting Deputy Attorney General had explained to him that this was false.
- c. The Defendant said that there had been a suspicious vote dump in Detroit, Michigan. The Defendant's Attorney General had explained to the Defendant that this was false, and the Defendant's allies in the Michigan

state legislature—the Speaker of the House of Representatives and Majority Leader of the Senate—had publicly announced that there was no evidence of substantial fraud in the state.

- d. The Defendant claimed that there had been tens of thousands of double votes and other fraud in Nevada. The Nevada Secretary of State had previously rebutted the Defendant’s fraud claims by publicly posting a “Facts vs. Myths” document explaining that Nevada judges had reviewed and rejected them, and the Nevada Supreme Court had rendered a decision denying such claims.
- e. The Defendant said that more than 30,000 non-citizens had voted in Arizona. The Defendant’s own Campaign Manager had explained to him that such claims were false, and the Speaker of the Arizona House of Representatives, who had supported the Defendant in the election, had issued a public statement that there was no evidence of substantial fraud in Arizona.
- f. The Defendant asserted that voting machines in various contested states had switched votes from the Defendant to Biden. The Defendant’s Attorney General, Acting Attorney General, and Acting Deputy Attorney General all had explained to him that this was false, and numerous recounts and audits had confirmed the accuracy of voting machines.

The Criminal Agreement and Acts to Effect the Object of the Conspiracy

**The Defendant’s Use of Deceit to Get State Officials to
Subvert the Legitimate Election Results and Change Electoral Votes**

13. Shortly after election day—which fell on November 3, 2020—the Defendant launched his criminal scheme. On November 13, the Defendant’s Campaign attorneys conceded in court that he had lost the vote count in the state of Arizona—meaning, based on the assessment the Defendant’s Campaign advisors had given him just a week earlier, the Defendant had lost the election. So the next day, the Defendant turned to Co-Conspirator 1, whom he announced would spearhead his efforts going forward to challenge the election results. From that point on, the Defendant and his co-conspirators executed a strategy to use knowing deceit in the targeted states to impair, obstruct, and defeat the federal government function, including as described below.

Arizona

14. On November 13, 2020, the Defendant had a conversation with his Campaign Manager, who informed him that a claim that had been circulating, that a substantial number of non-citizens had voted in Arizona, was false.

15. On November 22, eight days before Arizona's Governor certified the ascertainment of the state's legitimate electors based on the popular vote, the Defendant and Co-Conspirator 1 called the Speaker of the Arizona House of Representatives and made knowingly false claims of election fraud aimed at interfering with the ascertainment of and voting by Arizona's electors, as follows:

- a. The Defendant and Co-Conspirator 1 falsely asserted, among other things, that a substantial number of non-citizens, non-residents, and dead people had voted fraudulently in Arizona. The Arizona House Speaker asked Co-Conspirator 1 for evidence of the claims, which Co-Conspirator 1 did not have, but claimed he would provide. Co-Conspirator 1 never did so.
- b. The Defendant and Co-Conspirator 1 asked the Arizona House Speaker to call the legislature into session to hold a hearing based on their claims of election fraud. The Arizona House Speaker refused, stating that doing so would require a two-thirds vote of its members, and he would not allow it without actual evidence of fraud.
- c. The Defendant and Co-Conspirator 1 asked the Arizona House Speaker to use the legislature to circumvent the process by which legitimate electors would be ascertained for Biden based on the popular vote, and replace those electors with a new slate for the Defendant. The Arizona House Speaker refused, responding that the suggestion was beyond anything he had ever heard or thought of as something within his authority.

16. On December 1, Co-Conspirator 1 met with the Arizona House Speaker. When the Arizona House Speaker again asked Co-Conspirator 1 for evidence of the outcome-determinative election fraud he and the Defendant had been claiming, Co-Conspirator 1 responded with words to the effect of, "We don't have the evidence, but we have lots of theories."

17. On December 4, the Arizona House Speaker issued a public statement that said, in part:

No election is perfect, and if there were evidence of illegal votes or an improper count, then Arizona law provides a process to contest the election: a lawsuit under state law. But the law does not authorize the Legislature to reverse the results of an election.

As a conservative Republican, I don't like the results of the presidential election. I voted for President Trump and worked hard to reelect him. But I cannot and will not entertain a suggestion that we violate current law to change the outcome of a certified election.

I and my fellow legislators swore an oath to support the U.S. Constitution and the constitution and laws of the state of Arizona. It would violate that oath, the basic principles of republican government, and the rule of law if we attempted to nullify the people's vote based on unsupported theories of fraud. Under the laws that we wrote and voted upon, Arizona voters choose who wins, and our system requires that their choice be respected.

18. On the morning of January 4, 2021, Co-Conspirator 2 called the Arizona House Speaker to urge him to use a majority of the legislature to decertify the state's legitimate electors. Arizona's validly ascertained electors had voted three weeks earlier and sent their votes to Congress, which was scheduled to count those votes in Biden's favor in just two days' time at the January 6 certification proceeding. When the Arizona House Speaker explained that state investigations had uncovered no evidence of substantial fraud in the state, Co-Conspirator 2 conceded that he "[didn't] know enough about facts on the ground" in Arizona, but nonetheless told the Arizona House Speaker to decertify and "let the courts sort it out." The Arizona House Speaker refused, stating that he would not "play with the oath" he had taken to uphold the United States Constitution and Arizona law.

19. On January 6, the Defendant publicly repeated the knowingly false claim that 36,000 non-citizens had voted in Arizona.

Georgia

20. On November 16, 2020, on the Defendant's behalf, his executive assistant sent Co-Conspirator 3 and others a document containing bullet points critical of a certain voting machine company, writing, "See attached – Please include as is, or almost as is, in lawsuit." Co-Conspirator 3 responded nine minutes later, writing, "IT MUST GO IN ALL SUITS IN GA AND PA IMMEDIATELY WITH A FRAUD CLAIM THAT REQUIRES THE ENTIRE ELECTION TO BE SET ASIDE in those states and machines impounded for non-partisan professional inspection." On November 25, Co-Conspirator 3 filed a lawsuit against the Governor of Georgia falsely alleging "massive election fraud" accomplished through the voting machine company's election software and hardware. Before the lawsuit was even filed, the Defendant retweeted a post promoting it. The Defendant did this despite the fact that when he had discussed Co-Conspirator 3's far-fetched public claims regarding the voting machine company in private with advisors, the Defendant had conceded that they were unsupported and that Co-Conspirator 3 sounded "crazy." Co-Conspirator 3's Georgia lawsuit was dismissed on December 7.

21. On December 3, Co-Conspirator 1 orchestrated a presentation to a Judiciary Subcommittee of the Georgia State Senate, with the intention of misleading state senators into blocking the ascertainment of legitimate electors. During the presentation:

- a. An agent of the Defendant and Co-Conspirator 1 falsely claimed that more than 10,000 dead people voted in Georgia. That afternoon, a Senior Advisor to the Defendant told the Defendant's Chief of Staff through text messages, "Just an FYI. [A Campaign lawyer] and his team verified that the 10k+ supposed dead people voting in GA is not accurate. . . . It was alleged in [Co-Conspirator 1's] hearing today." The Senior Advisor clarified that he believed that the actual number was 12.
- b. Another agent of the Defendant and Co-Conspirator 1 played a misleading excerpt of a video recording of ballot-counting at State Farm Arena in Atlanta and insinuated that it showed election workers counting "suitcases" of illegal ballots.

- c. Co-Conspirator 2 encouraged the legislators to decertify the state's legitimate electors based on false allegations of election fraud.

22. Also on December 3, the Defendant issued a Tweet amplifying the knowingly false claims made in Co-Conspirator 1's presentation in Georgia: "Wow! Blockbuster testimony taking place right now in Georgia. Ballot stuffing by Dems when Republicans were forced to leave the large counting room. Plenty more coming, but this alone leads to an easy win of the State!"

23. On December 4, the Georgia Secretary of State's Chief Operating Officer debunked the claims made at Co-Conspirator 1's presentation the previous day, issuing a Tweet stating, "The 90 second video of election workers at State Farm arena, purporting to show fraud was watched in its entirety (hours) by @GaSecofState investigators. Shows normal ballot processing. Here is the fact check on it." On December 7, he reiterated during a press conference that the claim that there had been misconduct at State Farm Arena was false.

24. On December 8, the Defendant called the Georgia Attorney General to pressure him to support an election lawsuit filed in the Supreme Court by another state's attorney general. The Georgia Attorney General told the Defendant that officials had investigated various claims of election fraud in the state and were not seeing evidence to support them.

25. Also on December 8, a Senior Campaign Advisor—who spoke with the Defendant on a daily basis and had informed him on multiple occasions that various fraud claims were untrue—expressed frustration that many of Co-Conspirator 1 and his legal team's claims could not be substantiated. As early as mid-November, for instance, the Senior Campaign Advisor had informed the Defendant that his claims of a large number of dead voters in Georgia were untrue. With respect to the persistent false claim regarding State Farm Arena, on December 8, the Senior Campaign Advisor wrote in an email, "When our research and campaign legal team can't back up any of the claims made by our Elite Strike Force Legal Team, you can see why we're 0-32 on our

cases. I'll obviously hustle to help on all fronts, but it's tough to own any of this when it's all just conspiracy shit beamed down from the mothership."

26. On December 10, four days before Biden's validly ascertained electors were scheduled to cast votes and send them to Congress, Co-Conspirator 1 appeared at a hearing before the Georgia House of Representatives' Government Affairs Committee. Co-Conspirator 1 played the State Farm Arena video again, and falsely claimed that it showed "voter fraud right in front of people's eyes" and was "the tip of the iceberg." Then, he cited two election workers by name, baselessly accused them of "quite obviously surreptitiously passing around USB ports as if they are vials of heroin or cocaine," and suggested that they were criminals whose "places of work, their homes, should have been searched for evidence of ballots, for evidence of USB ports, for evidence of voter fraud." Thereafter, the two election workers received numerous death threats.

27. On December 15, the Defendant summoned the incoming Acting Attorney General, the incoming Acting Deputy Attorney General, and others to the Oval Office to discuss allegations of election fraud. During the meeting, the Justice Department officials specifically refuted the Defendant's claims about State Farm Arena, explaining to him that the activity shown on the tape Co-Conspirator 1 had used was "benign."

28. On December 23, a day after the Defendant's Chief of Staff personally observed the signature verification process at the Cobb County Civic Center and notified the Defendant that state election officials were "conducting themselves in an exemplary fashion" and would find fraud if it existed, the Defendant tweeted that the Georgia officials administering the signature verification process were trying to hide evidence of election fraud and were "[t]errible people!"

29. In a phone call on December 27, the Defendant spoke with the Acting Attorney General and Acting Deputy Attorney General. During the call, the Defendant again pressed the

unfounded claims regarding State Farm Arena, and the two top Justice Department officials again rebutted the allegations, telling him that the Justice Department had reviewed videotape and interviewed witnesses, and had not identified any suspicious conduct.

30. On December 31, the Defendant signed a verification affirming false election fraud allegations made on his behalf in a lawsuit filed in his name against the Georgia Governor. In advance of the filing, Co-Conspirator 2—who was advising the Defendant on the lawsuit—acknowledged in an email that he and the Defendant had, since signing a previous verification, “been made aware that some of the allegations (and evidence proffered by the experts) has been inaccurate” and that signing a new affirmation “with that knowledge (and incorporation by reference) would not be accurate.” The Defendant and Co-Conspirator 2 caused the Defendant’s signed verification to be filed nonetheless.

31. On January 2, four days before Congress’s certification proceeding, the Defendant and others called Georgia’s Secretary of State. During the call, the Defendant lied to the Georgia Secretary of State to induce him to alter Georgia’s popular vote count and call into question the validity of the Biden electors’ votes, which had been transmitted to Congress weeks before, including as follows:

- a. The Defendant raised allegations regarding the State Farm Arena video and repeatedly disparaged one of the same election workers that Co-Conspirator 1 had maligned on December 10, using her name almost twenty times and falsely referring to her as “a professional vote scammer and hustler.” In response, the Georgia Secretary of State refuted this: “You’re talking about the State Farm video. And I think it’s extremely unfortunate that [Co-Conspirator 1] or his people, they sliced and diced that video and took it out of context.” When the Georgia Secretary of State then offered a link to a video that would disprove Co-Conspirator 1’s claims, the Defendant responded, “I don’t care about a link, I don’t need it. I have a much, [Georgia Secretary of State], I have a much better link.”

- b. The Defendant asked about rumors that paper ballots cast in the election were being destroyed, and the Georgia Secretary of State's Counsel explained to him that the claim had been investigated and was not true.
- c. The Defendant claimed that 5,000 dead people voted in Georgia, causing the Georgia Secretary of State to respond, "Well, Mr. President, the challenge that you have is the data you have is wrong. . . . The actual number were two. Two. Two people that were dead that voted. And so [your information]'s wrong, that was two."
- d. The Defendant claimed that thousands of out-of-state voters had cast ballots in Georgia's election, which the Georgia Secretary of State's Counsel refuted, explaining, "We've been going through each of those as well, and those numbers that we got, that [Defendant's counsel] was just saying, they're not accurate. Every one we've been through are people that lived in Georgia, moved to a different state, but then moved back to Georgia legitimately . . . they moved back in years ago. This was not like something just before the election."
- e. In response to multiple other of the Defendant's allegations, the Georgia Secretary of State's Counsel told the Defendant that the Georgia Bureau of Investigation was examining all such claims and finding no merit to them.
- f. The Defendant said that he needed to "find" 11,780 votes, and insinuated that the Georgia Secretary of State and his Counsel could be subject to criminal prosecution if they failed to find election fraud as he demanded, stating, "And you are going to find that they are—which is totally illegal—it's, it's, it's more illegal for you than it is for them because you know what they did and you're not reporting it. That's a criminal, you know, that's a criminal offense. And you know, you can't let that happen. That's a big risk to you and to [the Georgia Secretary of State's Counsel], your lawyer."

32. The next day, on January 3, the Defendant falsely claimed that the Georgia Secretary of State had not addressed the Defendant's allegations, publicly stating that the Georgia Secretary of State "was unwilling, or unable, to answer questions such as the 'ballots under table' scam, ballot destruction, out of state 'voters', dead voters, and more. He has no clue!"

33. On January 6, the Defendant publicly repeated the knowingly false insinuation that more than 10,300 dead people had voted in Georgia.

Michigan

34. On November 5, 2020, the Defendant claimed that there had been a suspicious dump of votes—purportedly illegitimate ballots—stating, “In Detroit, there were hours of unexplained delay in delivering many of the votes for counting. The final batch did not arrive until four in the morning and—even though the polls closed at eight o’clock. So they brought it in, and the batches came in, and nobody knew where they came from.”

35. On November 20, three days before Michigan’s Governor signed a certificate of ascertainment notifying the federal government that, based on the popular vote, Biden’s electors were to represent Michigan’s voters, the Defendant held a meeting in the Oval Office with the Speaker of the Michigan House of Representatives and the Majority Leader of the Michigan Senate. In the meeting, the Defendant raised his false claim, among others, of an illegitimate vote dump in Detroit. In response, the Michigan Senate Majority Leader told the Defendant that he had lost Michigan not because of fraud, but because the Defendant had underperformed with certain voter populations in the state. Upon leaving their meeting, the Michigan House Speaker and Michigan Senate Majority Leader issued a statement reiterating this:

The Senate and House Oversight Committees are actively engaged in a thorough review of Michigan’s elections process and we have faith in the committee process to provide greater transparency and accountability to our citizens. We have not yet been made aware of any information that would change the outcome of the election in Michigan and as legislative leaders, we will follow the law and follow the normal process regarding Michigan’s electors, just as we have said throughout this election.

36. On December 1, the Defendant raised his Michigan vote dump claim with the Attorney General, who responded that what had occurred in Michigan had been the normal vote-counting process and that there was no indication of fraud in Detroit.

37. Despite this, the next day, the Defendant made a knowingly false statement that in Michigan, “[a]t 6:31 in the morning, a vote dump of 149,772 votes came in unexpectedly. We were winning by a lot. That batch was received in horror. Nobody knows anything about it. . . . It’s corrupt. Detroit is corrupt. I have a lot of friends in Detroit. They know it. But Detroit is totally corrupt.”

38. On December 4, Co-Conspirator 1 sent a text message to the Michigan House Speaker reiterating his unsupported claim of election fraud and attempting to get the Michigan House Speaker to assist in reversing the ascertainment of the legitimate Biden electors, stating, “Looks like Georgia may well hold some factual hearings and change the certification under ArtII sec 1 cl 2 of the Constitution. As [Co-Conspirator 2] explained they don’t just have the right to do it but the obligation. . . . Help me get this done in Michigan.”

39. Similarly, on December 7, despite still having established no fraud in Michigan, Co-Conspirator 1 sent a text intended for the Michigan Senate Majority Leader: “So I need you to pass a joint resolution from the Michigan legislature that states that, * the election is in dispute, * there’s an ongoing investigation by the Legislature, and * the Electors sent by Governor Whitmer are not the official Electors of the State of Michigan and do not fall within the Safe Harbor deadline of Dec 8 under Michigan law.”

40. On December 14—the day that electors in states across the country were required to vote and submit their votes to Congress—the Michigan House Speaker and Michigan Senate Majority Leader announced that, contrary to the Defendant’s requests, they would not decertify the legitimate election results or electors in Michigan. The Michigan Senate Majority Leader’s public statement included, “[W]e have not received evidence of fraud on a scale that would change

the outcome of the election in Michigan.” The Michigan House Speaker’s public statement read, in part:

We’ve diligently examined these reports of fraud to the best of our ability. . . .

. . . I fought hard for President Trump. Nobody wanted him to win more than me. I think he’s done an incredible job. But I love our republic, too. I can’t fathom risking our norms, traditions and institutions to pass a resolution retroactively changing the electors for Trump, simply because some think there may have been enough widespread fraud to give him the win. That’s unprecedented for good reason. And that’s why there is not enough support in the House to cast a new slate of electors. I fear we’d lose our country forever. This truly would bring mutually assured destruction for every future election in regards to the Electoral College. And I can’t stand for that. I won’t.

41. On January 6, 2021, the Defendant publicly repeated his knowingly false claim regarding an illicit dump of more than a hundred thousand ballots in Detroit.

Pennsylvania

42. On November 11, 2020, the Defendant publicly maligned a Philadelphia City Commissioner for stating on the news that there was no evidence of widespread fraud in Philadelphia. As a result, the Philadelphia City Commissioner and his family received death threats.

43. On November 25, the day after Pennsylvania’s Governor signed a certificate of ascertainment and thus certified to the federal government that Biden’s electors were the legitimate electors for the state, Co-Conspirator 1 orchestrated an event at a hotel in Gettysburg attended by state legislators. Co-Conspirator 1 falsely claimed that Pennsylvania had issued 1.8 million absentee ballots and received 2.5 million in return. In the days thereafter, a Campaign staffer wrote internally that Co-Conspirator 1’s allegation was “just wrong” and “[t]here’s no way to defend it.”

The Deputy Campaign Manager responded, “We have been saying this for a while. It’s very frustrating.”

44. On December 4, after four Republican leaders of the Pennsylvania legislature issued a public statement that the General Assembly lacked the authority to overturn the popular vote and appoint its own slate of electors, and that doing so would violate the state Election Code and Constitution, the Defendant re-tweeted a post labeling the legislators cowards.

45. On December 31 and January 3, the Defendant repeatedly raised with the Acting Attorney General and Acting Deputy Attorney General the allegation that in Pennsylvania, there had been 205,000 more votes than voters. Each time, the Justice Department officials informed the Defendant that his claim was false.

46. On January 6, 2021, the Defendant publicly repeated his knowingly false claim that there had been 205,000 more votes than voters in Pennsylvania.

Wisconsin

47. On November 29, 2020, a recount in Wisconsin that the Defendant’s Campaign had petitioned and paid for did not change the election result, and in fact increased the Defendant’s margin of defeat.

48. On December 14, the Wisconsin Supreme Court rejected an election challenge by the Campaign. One Justice wrote, “[N]othing in this case casts any legitimate doubt that the people of Wisconsin lawfully chose Vice President Biden and Senator Harris to be the next leaders of our great country.”

49. On December 21, as a result of the state Supreme Court’s decision, the Wisconsin Governor—who had signed a certificate of ascertainment on November 30 identifying Biden’s electors as the state’s legitimate electors—signed a certificate of final determination in which he

recognized that the state Supreme Court had resolved a controversy regarding the appointment of Biden's electors, and confirmed that Biden had received the highest number of votes in the state and that his electors were the state's legitimate electors.

50. That same day, in response to the court decision that had prompted the Wisconsin Governor to sign a certificate of final determination, the Defendant issued a Tweet repeating his knowingly false claim of election fraud and demanding that the Wisconsin legislature overturn the election results that had led to the ascertainment of Biden's electors as the legitimate electors.

51. On December 27, the Defendant raised with the Acting Attorney General and Acting Deputy Attorney General a specific fraud claim—that there had been more votes than voters in Wisconsin. The Acting Deputy Attorney General informed the Defendant that the claim was false.

52. On January 6, 2021, the Defendant publicly repeated knowingly false claims that there had been tens of thousands of unlawful votes in Wisconsin.

The Defendant's Use of Dishonesty, Fraud, and Deceit to Organize Fraudulent Slates of Electors and Cause Them to Transmit False Certificates to Congress

53. As the Defendant's attempts to obstruct the electoral vote through deceit of state officials met with repeated failure, beginning in early December 2020, he and co-conspirators developed a new plan: to marshal individuals who would have served as the Defendant's electors, had he won the popular vote, in seven targeted states—Arizona, Georgia, Michigan, Nevada, New Mexico, Pennsylvania, and Wisconsin—and cause those individuals to make and send to the Vice President and Congress false certifications that they were legitimate electors. Under the plan, the submission of these fraudulent slates would create a fake controversy at the certification proceeding and position the Vice President—presiding on January 6 as President of the Senate—

to supplant legitimate electors with the Defendant's fake electors and certify the Defendant as president.

54. The plan capitalized on ideas presented in memoranda drafted by Co-Conspirator 5, an attorney who was assisting the Defendant's Campaign with legal efforts related to a recount in Wisconsin. The memoranda evolved over time from a legal strategy to preserve the Defendant's rights to a corrupt plan to subvert the federal government function by stopping Biden electors' votes from being counted and certified, as follows:

- a. The November 18 Memorandum ("Wisconsin Memo") advocated that, because of the ongoing recount in Wisconsin, the Defendant's electors there should meet and cast votes on December 14—the date the ECA required appointed electors to vote—to preserve the alternative of the Defendant's Wisconsin elector slate in the event the Defendant ultimately prevailed in the state.
- b. The December 6 Memorandum ("Fraudulent Elector Memo") marked a sharp departure from Co-Conspirator 5's Wisconsin Memo, advocating that the alternate electors originally conceived of to preserve rights in Wisconsin instead be used in a number of states as fraudulent electors to prevent Biden from receiving the 270 electoral votes necessary to secure the presidency on January 6. The Fraudulent Elector Memo suggested that the Defendant's electors in six purportedly "contested" states (Arizona, Georgia, Michigan, Nevada, Pennsylvania, and Wisconsin) should meet and mimic as best as possible the actions of the legitimate Biden electors, and that on January 6, the Vice President should open and count the fraudulent votes, setting up a fake controversy that would derail the proper certification of Biden as president-elect.
- c. The December 9 Memorandum ("Fraudulent Elector Instructions") consisted of Co-Conspirator 5's instructions on how fraudulent electors could mimic legitimate electors in Arizona, Georgia, Michigan, Nevada, Pennsylvania, and Wisconsin. Co-Conspirator 5 noted that in some states, it would be virtually impossible for the fraudulent electors to successfully take the same steps as the legitimate electors because state law required formal participation in the process by state officials, or access to official resources.

55. The plan began in early December, and ultimately, the conspirators and the Defendant's Campaign took the Wisconsin Memo and expanded it to any state that the Defendant claimed was "contested"—even New Mexico, which the Defendant had lost by more than ten percent of the popular vote. This expansion was forecast by emails the Defendant's Chief of Staff sent on December 6, forwarding the Wisconsin Memo to Campaign staff and writing, "We just need to have someone coordinating the electors for states."

56. On December 6, the Defendant and Co-Conspirator 2 called the Chairwoman of the Republican National Committee to ensure that the plan was in motion. During the call, Co-Conspirator 2 told the Chairwoman that it was important for the RNC to help the Defendant's Campaign gather electors in targeted states, and falsely represented to her that such electors' votes would be used only if ongoing litigation in one of the states changed the results in the Defendant's favor. After the RNC Chairwoman consulted the Campaign and heard that work on gathering electors was underway, she called and reported this information to the Defendant, who responded approvingly.

57. On December 7, Co-Conspirator 1 received the Wisconsin Memo and the Fraudulent Elector Memo. Co-Conspirator 1 spoke with Co-Conspirator 6 regarding attorneys who could assist in the fraudulent elector effort in the targeted states, and he received from Co-Conspirator 6 an email identifying attorneys in Arizona, Georgia, Michigan, Nevada, New Mexico, Pennsylvania, and Wisconsin.

58. The next day, on December 8, Co-Conspirator 5 called the Arizona attorney on Co-Conspirator 6's list. In an email after the call, the Arizona attorney recounted his conversation with Co-Conspirator 5 as follows:

I just talked to the gentleman who did that memo, [Co-Conspirator 5]. His idea is basically that all of us (GA, WI, AZ, PA,

etc.) have our electors send in their votes (even though the votes aren't legal under federal law -- because they're not signed by the Governor); so that members of Congress can fight about whether they should be counted on January 6th. (They could potentially argue that they're not bound by federal law because they're Congress and make the law, etc.) Kind of wild/creative -- I'm happy to discuss. My comment to him was that I guess there's no harm in it, (legally at least) -- i.e. we would just be sending in "fake" electoral votes to Pence so that "someone" in Congress can make an objection when they start counting votes, and start arguing that the "fake" votes should be counted.

59. At Co-Conspirator 1's direction, on December 10, Co-Conspirator 5 sent to points of contact in all targeted states except Wisconsin (which had already received his memos) and New Mexico a streamlined version of the Wisconsin Memo—which did not reveal the intended fraudulent use of the Defendant's electors—and the Fraudulent Elector Instructions, along with fraudulent elector certificates that he had drafted.

60. The next day, on December 11, through Co-Conspirator 5, Co-Conspirator 1 suggested that the Arizona lawyer file a petition for certiorari in the Supreme Court as a pretext to claim that litigation was pending in the state, to provide cover for the convening and voting of the Defendant's fraudulent electors there. Co-Conspirator 5 explained that Co-Conspirator 1 had heard from a state official and state provisional elector that "it could appear **treasonous** for the AZ electors to vote on Monday if there is no pending court proceeding"

61. To manage the plan in Pennsylvania, on December 12, Co-Conspirator 1, Co-Conspirator 5, and Co-Conspirator 6 participated in a conference call organized by the Defendant's Campaign with the Defendant's electors in that state. When the Defendant's electors expressed concern about signing certificates representing themselves as legitimate electors, Co-Conspirator 1 falsely assured them that their certificates would be used only if the Defendant succeeded in litigation. Subsequently, Co-Conspirator 6 circulated proposed conditional language to that effect

for potential inclusion in the fraudulent elector certificates. A Campaign official cautioned not to offer the conditional language to other states because “[t]he other States are signing what he prepared – if it gets out we changed the language for PA it could snowball.” In some cases, the Defendant’s electors refused to participate in the plan.

62. On December 13, Co-Conspirator 5 sent Co-Conspirator 1 an email memorandum that further confirmed that the conspirators’ plan was not to use the fraudulent electors only in the circumstance that the Defendant’s litigation was successful in one of the targeted states—instead, the plan was to falsely present the fraudulent slates as an alternative to the legitimate slates at Congress’s certification proceeding.

63. On December 13, the Defendant asked the Senior Campaign Advisor for an update on “what was going on” with the elector plan and directed him to “put out [a] statement on electors.” As a result, Co-Conspirator 1 directed the Senior Campaign Advisor to join a conference call with him, Co-Conspirator 6, and others. When the Senior Campaign Advisor related these developments in text messages to the Deputy Campaign Manager, a Senior Advisor to the Defendant, and a Campaign staffer, the Deputy Campaign Manager responded, “Here’s the thing the way this has morphed it’s a crazy play so I don’t know who wants to put their name on it.” The Senior Advisor wrote, “Certifying illegal votes.” In turn, the participants in the group text message refused to have a statement regarding electors attributed to their names because none of them could “stand by it.”

64. Also on December 13, at a Campaign staffer’s request, Co-Conspirator 5 drafted and sent fraudulent elector certificates for the Defendant’s electors in New Mexico, which had not previously been among the targeted states, and where there was no pending litigation on the Defendant’s behalf. The next day, the Defendant’s Campaign filed an election challenge suit in

New Mexico at 11:54 a.m., six minutes before the noon deadline for the electors' votes, as a pretext so that there was pending litigation there at the time the fraudulent electors voted.

65. On December 14, the legitimate electors of all 50 states and the District of Columbia met in their respective jurisdictions to formally cast their votes for president, resulting in a total of 232 electoral votes for the Defendant and 306 for Biden. The legitimate electoral votes that Biden won in the states that the Defendant targeted, and the Defendant's margin of defeat, were as follows: Arizona (11 electoral votes; 10,457 votes), Georgia (16 electoral votes; 11,779 votes), Michigan (16 electoral votes; 154,188 votes), Nevada (6 electoral votes; 33,596 votes), New Mexico (5 electoral votes; 99,720 votes), Pennsylvania (20 electoral votes; 80,555 votes), and Wisconsin (10 electoral votes; 20,682 votes).

66. On the same day, at the direction of the Defendant and Co-Conspirator 1, fraudulent electors convened sham proceedings in the seven targeted states to cast fraudulent electoral ballots in favor of the Defendant. In some states, in order to satisfy legal requirements set forth for legitimate electors under state law, state officials were enlisted to provide the fraudulent electors access to state capitol buildings so that they could gather and vote there. In many cases, however, as Co-Conspirator 5 had predicted in the Fraudulent Elector Instructions, the fraudulent electors were unable to satisfy the legal requirements.

67. Nonetheless, as directed in the Fraudulent Elector Instructions, shortly after the fraudulent electors met on December 14, the targeted states' fraudulent elector certificates were mailed to the President of the Senate, the Archivist of the United States, and others. The Defendant and co-conspirators ultimately used the certificates of these fraudulent electors to deceitfully target the government function, and did so contrary to how fraudulent electors were told they would be used.

68. Unlike those of the fraudulent electors, consistent with the ECA, the legitimate electors' signed certificates were annexed to the state executives' certificates of ascertainment before being sent to the President of the Senate and others.

69. That evening, at 6:26 p.m., the RNC Chairwoman forwarded to the Defendant, through his executive assistant, an email titled, "Electors Recap – Final," which represented that in "Six Contested States"—Arizona, Georgia, Michigan, Nevada, Pennsylvania, and Wisconsin—the Defendant's electors had voted in parallel to Biden's electors. The Defendant's executive assistant responded, "It's in front of him!"

The Defendant's Attempt to Leverage the Justice Department to Use Deceit to Get State Officials to Replace Legitimate Electors and Electoral Votes with the Defendant's

70. In late December 2020, the Defendant attempted to use the Justice Department to make knowingly false claims of election fraud to officials in the targeted states through a formal letter under the Acting Attorney General's signature, thus giving the Defendant's lies the backing of the federal government and attempting to improperly influence the targeted states to replace legitimate Biden electors with the Defendant's.

71. On December 22, the Defendant met with Co-Conspirator 4 at the White House. Co-Conspirator 4 had not informed his leadership at the Justice Department of the meeting, which was a violation of the Justice Department's written policy restricting contacts with the White House to guard against improper political influence.

72. On December 26, Co-Conspirator 4 spoke on the phone with the Acting Attorney General and lied about the circumstances of his meeting with the Defendant at the White House, falsely claiming that the meeting had been unplanned. The Acting Attorney General directed Co-Conspirator 4 not to have unauthorized contacts with the White House again, and Co-Conspirator 4 said he would not.

73. The next morning, on December 27, contrary to the Acting Attorney General's direction, Co-Conspirator 4 spoke with the Defendant on the Defendant's cell phone for nearly three minutes.

74. That afternoon, the Defendant called the Acting Attorney General and Acting Deputy Attorney General and said, among other things, "People tell me [Co-Conspirator 4] is great. I should put him in." The Defendant also raised multiple false claims of election fraud, which the Acting Attorney General and Acting Deputy Attorney General refuted. When the Acting Attorney General told the Defendant that the Justice Department could not and would not change the outcome of the election, the Defendant responded, "Just say that the election was corrupt and leave the rest to me and the Republican congressmen."

75. On December 28, Co-Conspirator 4 sent a draft letter to the Acting Attorney General and Acting Deputy Attorney General, which he proposed they all sign. The draft was addressed to state officials in Georgia, and Co-Conspirator 4 proposed sending versions of the letter to elected officials in other targeted states. The proposed letter contained numerous knowingly false claims about the election and the Justice Department, including that:

- a. The Justice Department had "identified significant concerns that may have impacted the outcome of the election in multiple States[.]"
- b. The Justice Department believed that in Georgia and other states, two valid slates of electors had gathered at the proper location on December 14, and that both sets of ballots had been transmitted to Congress. That is, Co-Conspirator 4's letter sought to advance the Defendant's fraudulent elector plan by using the authority of the Justice Department to falsely present the fraudulent electors as a valid alternative to the legitimate electors.
- c. The Justice Department urged that the state legislature convene a special legislative session to create the opportunity to, among other things, choose the fraudulent electors over the legitimate electors.

76. The Acting Deputy Attorney General promptly responded to Co-Conspirator 4 by email and told him that his proposed letter was false, writing, “Despite dramatic claims to the contrary, we have not seen the type of fraud that calls into question the reported (and certified) results of the election.” In a meeting shortly thereafter, the Acting Attorney General and Acting Deputy Attorney General again directed Co-Conspirator 4 not to have unauthorized contact with the White House.

77. On December 31, the Defendant summoned to the Oval Office the Acting Attorney General, Acting Deputy Attorney General, and other advisors. In the meeting, the Defendant again raised claims about election fraud that Justice Department officials already had told him were not true—and that the senior Justice Department officials reiterated were false—and suggested he might change the leadership in the Justice Department.

78. On January 2, 2021, just four days before Congress’s certification proceeding, Co-Conspirator 4 tried to coerce the Acting Attorney General and Acting Deputy Attorney General to sign and send Co-Conspirator 4’s draft letter, which contained false statements, to state officials. He told them that the Defendant was considering making Co-Conspirator 4 the new Acting Attorney General, but that Co-Conspirator 4 would decline the Defendant’s offer if the Acting Attorney General and Acting Deputy Attorney General would agree to send the proposed letter to the targeted states. The Justice Department officials refused.

79. The next morning, on January 3, despite having uncovered no additional evidence of election fraud, Co-Conspirator 4 sent to a Justice Department colleague an edited version of his draft letter to the states, which included a change from its previous claim that the Justice Department had “concerns” to a stronger false claim that “[a]s of today, there is evidence of

significant irregularities that may have impacted the outcome of the election in multiple States”

80. Also on the morning of January 3, Co-Conspirator 4 met with the Defendant at the White House—again without having informed senior Justice Department officials—and accepted the Defendant’s offer that he become Acting Attorney General.

81. On the afternoon of January 3, Co-Conspirator 4 spoke with a Deputy White House Counsel. The previous month, the Deputy White House Counsel had informed the Defendant that “there is no world, there is no option in which you do not leave the White House [o]n January 20th.” Now, the same Deputy White House Counsel tried to dissuade Co-Conspirator 4 from assuming the role of Acting Attorney General. The Deputy White House Counsel reiterated to Co-Conspirator 4 that there had not been outcome-determinative fraud in the election and that if the Defendant remained in office nonetheless, there would be “riots in every major city in the United States.” Co-Conspirator 4 responded, “Well, [Deputy White House Counsel], that’s why there’s an Insurrection Act.”

82. Also that afternoon, Co-Conspirator 4 met with the Acting Attorney General and told him that the Defendant had decided to put Co-Conspirator 4 in charge of the Justice Department. The Acting Attorney General responded that he would not accept being fired by a subordinate and immediately scheduled a meeting with the Defendant for that evening.

83. On the evening of January 3, the Defendant met for a briefing on an overseas national security issue with the Chairman of the Joint Chiefs of Staff and other senior national security advisors. The Chairman briefed the Defendant on the issue—which had previously arisen in December—as well as possible ways the Defendant could handle it. When the Chairman and another advisor recommended that the Defendant take no action because Inauguration Day was

only seventeen days away and any course of action could trigger something unhelpful, the Defendant calmly agreed, stating, “Yeah, you’re right, it’s too late for us. We’re going to give that to the next guy.”

84. The Defendant moved immediately from this national security briefing to the meeting that the Acting Attorney General had requested earlier that day, which included Co-Conspirator 4, the Acting Attorney General, the Acting Deputy Attorney General, the Justice Department’s Assistant Attorney General for the Office of Legal Counsel, the White House Counsel, a Deputy White House Counsel, and a Senior Advisor. At the meeting, the Defendant expressed frustration with the Acting Attorney General for failing to do anything to overturn the election results, and the group discussed Co-Conspirator 4’s plans to investigate purported election fraud and to send his proposed letter to state officials—a copy of which was provided to the Defendant during the meeting. The Defendant relented in his plan to replace the Acting Attorney General with Co-Conspirator 4 only when he was told that it would result in mass resignations at the Justice Department and of his own White House Counsel.

85. At the meeting in the Oval Office on the night of January 3, Co-Conspirator 4 suggested that the Justice Department should opine that the Vice President could exceed his lawful authority during the certification proceeding and change the election outcome. When the Assistant Attorney General for the Office of Legal Counsel began to explain why the Justice Department should not do so, the Defendant said, “No one here should be talking to the Vice President. I’m talking to the Vice President,” and ended the discussion.

The Defendant's Attempts to Enlist the Vice President to Fraudulently Alter the Election Results at the January 6 Certification Proceeding

86. As the January 6 congressional certification proceeding approached and other efforts to impair, obstruct, and defeat the federal government function failed, the Defendant sought to enlist the Vice President to use his ceremonial role at the certification to fraudulently alter the election results. The Defendant did this first by using knowingly false claims of election fraud to convince the Vice President to accept the Defendant's fraudulent electors, reject legitimate electoral votes, or send legitimate electoral votes to state legislatures for review rather than count them. When that failed, the Defendant attempted to use a crowd of supporters that he had gathered in Washington, D.C., to pressure the Vice President to fraudulently alter the election results.

87. On December 19, 2020, after cultivating widespread anger and resentment for weeks with his knowingly false claims of election fraud, the Defendant urged his supporters to travel to Washington on the day of the certification proceeding, tweeting, "Big protest in D.C. on January 6th. Be there, will be wild!" Throughout late December, he repeatedly urged his supporters to come to Washington for January 6.

88. On December 23, the Defendant re-tweeted a memo titled "Operation 'PENCE' CARD," which falsely asserted that the Vice President could, among other things, unilaterally disqualify legitimate electors from six targeted states.

89. On the same day, Co-Conspirator 2 circulated a two-page memorandum outlining a plan for the Vice President to unlawfully declare the Defendant the certified winner of the presidential election. In the memorandum, Co-Conspirator 2 claimed that seven states had transmitted two slates of electors and proposed that the Vice President announce that "because of the ongoing disputes in the 7 States, there are no electors that can be deemed validly appointed in those States." Next, Co-Conspirator 2 proposed steps that he acknowledged violated the ECA,

advocating that, in the end, “Pence then gavels President Trump as re-elected.” Just two months earlier, on October 11, Co-Conspirator 2 had taken the opposite position, writing that neither the Constitution nor the ECA provided the Vice President discretion in the counting of electoral votes, or permitted him to “make the determination on his own.”

90. On several private phone calls in late December and early January, the Defendant repeated knowingly false claims of election fraud and directly pressured the Vice President to use his ceremonial role at the certification proceeding on January 6 to fraudulently overturn the results of the election, and the Vice President resisted, including:

- a. On December 25, when the Vice President called the Defendant to wish him a Merry Christmas, the Defendant quickly turned the conversation to January 6 and his request that the Vice President reject electoral votes that day. The Vice President pushed back, telling the Defendant, as the Vice President already had in previous conversations, “You know I don’t think I have the authority to change the outcome.”
- b. On December 29, as reflected in the Vice President’s contemporaneous notes, the Defendant falsely told the Vice President that the “Justice Dept [was] finding major infractions.”
- c. On January 1, the Defendant called the Vice President and berated him because he had learned that the Vice President had opposed a lawsuit seeking a judicial decision that, at the certification, the Vice President had the authority to reject or return votes to the states under the Constitution. The Vice President responded that he thought there was no constitutional basis for such authority and that it was improper. In response, the Defendant told the Vice President, “You’re too honest.” Within hours of the conversation, the Defendant reminded his supporters to meet in Washington before the certification proceeding, tweeting, “The BIG Protest Rally in Washington, D.C., will take place at 11.00 A.M. on January 6th. Locational details to follow. StopTheSteal!”
- d. On January 3, the Defendant again told the Vice President that at the certification proceeding, the Vice President had the absolute right to reject electoral votes and the ability to overturn the election. The Vice President responded that he had no such authority, and that a federal appeals court had rejected the lawsuit making that claim the previous day.

91. On January 3, Co-Conspirator 2 circulated a second memorandum that included a new plan under which, contrary to the ECA, the Vice President would send the elector slates to the state legislatures to determine which slate to count.

92. On January 4, the Defendant held a meeting with Co-Conspirator 2, the Vice President, the Vice President's Chief of Staff, and the Vice President's Counsel for the purpose of convincing the Vice President, based on the Defendant's knowingly false claims of election fraud, that the Vice President should reject or send to the states Biden's legitimate electoral votes, rather than count them. The Defendant deliberately excluded his White House Counsel from the meeting because the White House Counsel previously had pushed back on the Defendant's false claims of election fraud.

93. During the meeting, as reflected in the Vice President's contemporaneous notes, the Defendant made knowingly false claims of election fraud, including, "Bottom line—won every state by 100,000s of votes" and "We won every state," and asked—regarding a claim his senior Justice Department officials previously had told him was false, including as recently as the night before—"What about 205,000 votes more in PA than voters?" The Defendant and Co-Conspirator 2 then asked the Vice President to either unilaterally reject the legitimate electors from the seven targeted states, or send the question of which slate was legitimate to the targeted states' legislatures. When the Vice President challenged Co-Conspirator 2 on whether the proposal to return the question to the states was defensible, Co-Conspirator 2 responded, "Well, nobody's tested it before." The Vice President then told the Defendant, "Did you hear that? Even your own counsel is not saying I have that authority." The Defendant responded, "That's okay, I prefer the other suggestion" of the Vice President rejecting the electors unilaterally.

94. Also on January 4, when Co-Conspirator 2 acknowledged to the Defendant's Senior Advisor that no court would support his proposal, the Senior Advisor told Co-Conspirator 2, "[Y]ou're going to cause riots in the streets." Co-Conspirator 2 responded that there had previously been points in the nation's history where violence was necessary to protect the republic. After that conversation, the Senior Advisor notified the Defendant that Co-Conspirator 2 had conceded that his plan was "not going to work."

95. On the morning of January 5, at the Defendant's direction, the Vice President's Chief of Staff and the Vice President's Counsel met again with Co-Conspirator 2. Co-Conspirator 2 now advocated that the Vice President do what the Defendant had said he preferred the day before: unilaterally reject electors from the targeted states. During this meeting, Co-Conspirator 2 privately acknowledged to the Vice President's Counsel that he hoped to prevent judicial review of his proposal because he understood that it would be unanimously rejected by the Supreme Court. The Vice President's Counsel expressed to Co-Conspirator 2 that following through with the proposal would result in a "disastrous situation" where the election might "have to be decided in the streets."

96. That same day, the Defendant encouraged supporters to travel to Washington on January 6, and he set the false expectation that the Vice President had the authority to and might use his ceremonial role at the certification proceeding to reverse the election outcome in the Defendant's favor, including issuing the following Tweets:

- a. At 11:06 a.m., "The Vice President has the power to reject fraudulently chosen electors." This was within 40 minutes of the Defendant's earlier reminder, "See you in D.C."
- b. At 5:05 p.m., "Washington is being inundated with people who don't want to see an election victory stolen . . . Our Country has had enough, they won't take it anymore! We hear you (and love you) from the Oval Office."

- c. At 5:43 p.m., “I will be speaking at the SAVE AMERICA RALLY tomorrow on the Ellipse at 11AM Eastern. Arrive early — doors open at 7AM Eastern. BIG CROWDS!”

97. Also on January 5, the Defendant met alone with the Vice President. When the Vice President refused to agree to the Defendant’s request that he obstruct the certification, the Defendant grew frustrated and told the Vice President that the Defendant would have to publicly criticize him. Upon learning of this, the Vice President’s Chief of Staff was concerned for the Vice President’s safety and alerted the head of the Vice President’s Secret Service detail.

98. As crowds began to gather in Washington and were audible from the Oval Office, the Defendant remarked to advisors that the crowd the following day on January 6 was going to be “angry.”

99. That night, the Defendant approved and caused the Defendant’s Campaign to issue a public statement that the Defendant knew, from his meeting with the Vice President only hours earlier, was false: “The Vice President and I are in total agreement that the Vice President has the power to act.”

100. On January 6, starting in the early morning hours, the Defendant again turned to knowingly false statements aimed at pressuring the Vice President to fraudulently alter the election outcome, and raised publicly the false expectation that the Vice President might do so:

- a. At 1:00 a.m., the Defendant issued a Tweet that falsely claimed, “If Vice President @Mike_Pence comes through for us, we will win the Presidency. Many States want to decertify the mistake they made in certifying incorrect & even fraudulent numbers in a process NOT approved by their State Legislatures (which it must be). Mike can send it back!”
- b. At 8:17 a.m., the Defendant issued a Tweet that falsely stated, “States want to correct their votes, which they now know were based on irregularities and fraud, plus corrupt process never received legislative approval. All Mike Pence has to do is send them back to the States, AND WE WIN. Do it Mike, this is a time for extreme courage!”

101. On the morning of January 6, an agent of the Defendant contacted a United States Senator to ask him to hand-deliver documents to the Vice President. The agent then facilitated the receipt by the Senator's staff of the fraudulent certificates signed by the Defendant's fraudulent electors in Michigan and Wisconsin, which were believed not to have been delivered to the Vice President or Archivist by mail. When one of the Senator's staffers contacted a staffer for the Vice President by text message to arrange for delivery of what the Senator's staffer had been told were "[a]lternate slate[s] of electors for MI and WI because archivist didn't receive them," the Vice President's staffer rejected them.

102. At 11:15 a.m., the Defendant called the Vice President and again pressured him to fraudulently reject or return Biden's legitimate electoral votes. The Vice President again refused. Immediately after the call, the Defendant decided to single out the Vice President in public remarks he would make within the hour, reinserting language that he had personally drafted earlier that morning—falsely claiming that the Vice President had authority to send electoral votes to the states—but that advisors had previously successfully advocated be removed.

103. Earlier that morning, the Defendant had selected Co-Conspirator 2 to join Co-Conspirator 1 in giving public remarks before his own. When they did so, based on knowingly false election fraud claims, Co-Conspirator 1 and Co-Conspirator 2 intensified pressure on the Vice President to fraudulently obstruct the certification proceeding:

- a. Co-Conspirator 1 told the crowd that the Vice President could "cast [the ECA] aside" and unilaterally "decide on the validity of these crooked ballots[.]" He also lied when he claimed to "have letters from five legislatures begging us" to send elector slates to the legislatures for review, and called for "trial by combat."
- b. Co-Conspirator 2 told the crowd, "[A]ll we are demanding of Vice President Pence is this afternoon at one o'clock he let the legislatures of the state look into this so we get to the bottom of it and the American people know whether we have control of the direction of our government or not. We no

longer live in a self-governing republic if we can't get the answer to this question.”

104. Next, beginning at 11:56 a.m., the Defendant made multiple knowingly false statements integral to his criminal plans to defeat the federal government function, obstruct the certification, and interfere with others' right to vote and have their votes counted. The Defendant repeated false claims of election fraud, gave false hope that the Vice President might change the election outcome, and directed the crowd in front of him to go to the Capitol as a means to obstruct the certification and pressure the Vice President to fraudulently obstruct the certification. The Defendant's knowingly false statements for these purposes included:

- a. The Defendant falsely claimed that, based on fraud, the Vice President could alter the outcome of the election results, stating:

I hope Mike is going to do the right thing. I hope so.
I hope so.

Because if Mike Pence does the right thing, we win the election. All he has to do—all, this is, this is from the number one, or certainly one of the top, Constitutional lawyers in our country—he has the absolute right to do it. We're supposed to protect our country, support our country, support our Constitution, and protect our Constitution.

States want to revote. The states got defrauded. They were given false information. They voted on it. Now they want to recertify. They want it back. All Vice President Pence has to do is send it back to the states to recertify and we become president and you are the happiest people.

- b. After the Defendant falsely stated that the Pennsylvania legislature wanted “to recertify their votes. They want to recertify. But the only way that can happen is if Mike Pence agrees to send it back,” the crowd began to chant, “Send it back.”

- c. The Defendant also said that regular rules no longer applied, stating, “And fraud breaks up everything, doesn’t it? When you catch somebody in a fraud, you’re allowed to go by very different rules.”
- d. Finally, after exhorting that “we fight. We fight like hell. And if you don’t fight like hell, you’re not going to have a country anymore,” the Defendant directed the people in front of him to head to the Capitol, suggested he was going with them, and told them to give Members of Congress “the kind of pride and boldness that they need to take back our country.”

105. During and after the Defendant’s remarks, thousands of people marched toward the Capitol.

The Defendant’s Exploitation of the Violence and Chaos at the Capitol

106. Shortly before 1:00 p.m., the Vice President issued a public statement explaining that his role as President of the Senate at the certification proceeding that was about to begin did not include “unilateral authority to determine which electoral votes should be counted and which should not.”

107. Before the Defendant had finished speaking, a crowd began to gather at the Capitol. Thereafter, a mass of people—including individuals who had traveled to Washington and to the Capitol at the Defendant’s direction—broke through barriers cordoning off the Capitol grounds and advanced on the building, including by violently attacking law enforcement officers trying to secure it.

108. The Defendant, who had returned to the White House after concluding his remarks, watched events at the Capitol unfold on the television in the dining room next to the Oval Office.

109. At 2:13 p.m., after more than an hour of steady, violent advancement, the crowd at the Capitol broke into the building.

110. Upon receiving news that individuals had breached the Capitol, the Defendant’s advisors told him that there was a riot there and that rioters had breached the building. When

advisors urged the Defendant to issue a calming message aimed at the rioters, the Defendant refused, instead repeatedly remarking that the people at the Capitol were angry because the election had been stolen.

111. At 2:24 p.m., after advisors had left the Defendant alone in his dining room, the Defendant issued a Tweet intended to further delay and obstruct the certification: “Mike Pence didn’t have the courage to do what should have been done to protect our Country and our Constitution, giving States a chance to certify a corrected set of facts, not the fraudulent or inaccurate ones which they were asked to previously certify. USA demands the truth!”

112. One minute later, at 2:25 p.m., the United States Secret Service was forced to evacuate the Vice President to a secure location.

113. At the Capitol, throughout the afternoon, members of the crowd chanted, “Hang Mike Pence!”; “Where is Pence? Bring him out!”; and “Traitor Pence!”

114. The Defendant repeatedly refused to approve a message directing rioters to leave the Capitol, as urged by his most senior advisors—including the White House Counsel, a Deputy White House Counsel, the Chief of Staff, a Deputy Chief of Staff, and a Senior Advisor. Instead, the Defendant issued two Tweets that did not ask rioters to leave the Capitol but instead falsely suggested that the crowd at the Capitol was being peaceful, including:

- a. At 2:38 p.m., “Please support our Capitol Police and Law Enforcement. They are truly on the side of our Country. Stay peaceful!”
- b. At 3:13 p.m., “I am asking for everyone at the U.S. Capitol to remain peaceful. No violence! Remember, WE are the Party of Law & Order – respect the Law and our great men and women in Blue. Thank you!”

115. At 3:00 p.m., the Defendant had a phone call with the Minority Leader of the United States House of Representatives. The Defendant told the Minority Leader that the crowd at the Capitol was more upset about the election than the Minority Leader was.

116. At 4:17 p.m., the Defendant released a video message on Twitter that he had just taped in the White House Rose Garden. In it, the Defendant repeated the knowingly false claim that “[w]e had an election that was stolen from us,” and finally asked individuals to leave the Capitol, while telling them that they were “very special” and that “we love you.”

117. After the 4:17 p.m. Tweet, as the Defendant joined others in the outer Oval Office to watch the attack on the Capitol on television, the Defendant said, “See, this is what happens when they try to steal an election. These people are angry. These people are really angry about it. This is what happens.”

118. At 6:01 p.m., the Defendant tweeted, “These are the things and events that happen when a sacred landslide election victory is so unceremoniously & viciously stripped away from great patriots who have been badly & unfairly treated for so long. Go home with love & in peace. Remember this day forever!”

119. On the evening of January 6, the Defendant and Co-Conspirator 1 attempted to exploit the violence and chaos at the Capitol by calling lawmakers to convince them, based on knowingly false claims of election fraud, to delay the certification, including:

- a. The Defendant, through White House aides, attempted to reach two United States Senators at 6:00 p.m.
- b. From 6:59 p.m. until 7:18 p.m., Co-Conspirator 1 placed calls to five United States Senators and one United States Representative.
- c. Co-Conspirator 6 attempted to confirm phone numbers for six United States Senators whom the Defendant had directed Co-Conspirator 1 to call and attempt to enlist in further delaying the certification.
- d. In one of the calls, Co-Conspirator 1 left a voicemail intended for a United States Senator that said, “We need you, our Republican friends, to try to just slow it down so we can get these legislatures to get more information to you. And I know they’re reconvening at eight tonight but the only strategy we can follow is to object to numerous states and raise issues so that we get ourselves into tomorrow—ideally until the end of tomorrow.”

- e. In another message intended for another United States Senator, Co-Conspirator 1 repeated knowingly false allegations of election fraud, including that the vote counts certified by the states to Congress were incorrect and that the governors who had certified knew they were incorrect; that “illegal immigrants” had voted in substantial numbers in Arizona; and that “Georgia gave you a number in which 65,000 people who were underage voted.” Co-Conspirator 1 also claimed that the Vice President’s actions had been surprising and asked the Senator to “object to every state and kind of spread this out a little bit like a filibuster[.]”

120. At 7:01 p.m., while Co-Conspirator 1 was calling United States Senators on behalf of the Defendant, the White House Counsel called the Defendant to ask him to withdraw any objections and allow the certification. The Defendant refused.

121. The attack on the Capitol obstructed and delayed the certification for approximately six hours, until the Senate and House of Representatives came back into session separately at 8:06 p.m. and 9:02 p.m., respectively, and came together in a Joint Session at 11:35 p.m.

122. At 11:44 p.m., Co-Conspirator 2 emailed the Vice President’s Counsel advocating that the Vice President violate the law and seek further delay of the certification. Co-Conspirator 2 wrote, “I implore you to consider one more relatively minor violation [of the ECA] and adjourn for 10 days to allow the legislatures to finish their investigations, as well as to allow a full forensic audit of the massive amount of illegal activity that has occurred here.”

123. At 3:41 a.m. on January 7, as President of the Senate, the Vice President announced the certified results of the 2020 presidential election in favor of Biden.

124. The Defendant and his co-conspirators committed one or more of the acts to effect the object of the conspiracy alleged above in Paragraphs 13, 15-16, 18-22, 24, 26, 28, 30-33, 35, 37-39, 41, 43-44, 46, 50, 52, 54, 56, 57-64, 67, 71-75, 78-82, 84, 85, 87-97, 99-100, 102-104, 111, 114, 116, 118-119, and 122.

(In violation of Title 18, United States Code, Section 371)

COUNT TWO

(Conspiracy to Obstruct an Official Proceeding—18 U.S.C. § 1512(k))

125. The allegations contained in paragraphs 1 through 4 and 8 through 123 of this Indictment are re-alleged and fully incorporated here by reference.

126. From on or about November 14, 2020, through on or about January 7, 2021, in the District of Columbia and elsewhere, the Defendant,

DONALD J. TRUMP,

did knowingly combine, conspire, confederate, and agree with co-conspirators, known and unknown to the Grand Jury, to corruptly obstruct and impede an official proceeding, that is, the certification of the electoral vote, in violation of Title 18, United States Code, Section 1512(c)(2).

(In violation of Title 18, United States Code, Section 1512(k))

COUNT THREE

**(Obstruction of, and Attempt to Obstruct, an Official
Proceeding—18 U.S.C. §§ 1512(c)(2), 2)**

127. The allegations contained in paragraphs 1 through 4 and 8 through 123 of this Indictment are re-alleged and fully incorporated here by reference.

128. From on or about November 14, 2020, through on or about January 7, 2021, in the District of Columbia and elsewhere, the Defendant,

DONALD J. TRUMP,

attempted to, and did, corruptly obstruct and impede an official proceeding, that is, the certification of the electoral vote.

(In violation of Title 18, United States Code, Sections 1512(c)(2), 2)

COUNT FOUR
(Conspiracy Against Rights—18 U.S.C. § 241)

129. The allegations contained in paragraphs 1 through 4 and 8 through 123 of this Indictment are re-alleged and fully incorporated here by reference.

130. From on or about November 14, 2020, through on or about January 20, 2021, in the District of Columbia and elsewhere, the Defendant,

DONALD J. TRUMP,

did knowingly combine, conspire, confederate, and agree with co-conspirators, known and unknown to the Grand Jury, to injure, oppress, threaten, and intimidate one or more persons in the free exercise and enjoyment of a right and privilege secured to them by the Constitution and laws of the United States—that is, the right to vote, and to have one's vote counted.

(In violation of Title 18, United States Code, Section 241)

A TRUE BILL

FOREPERSON



JACK SMITH
SPECIAL COUNSEL
UNITED STATES DEPARTMENT OF JUSTICE

PROOF OF SERVICE

I am a resident of the State of California, over the age of eighteen years, and not a party to the within action. My business address is MILLER LAW ASSOCIATES, APC, 411 South Hewitt Street, Los Angeles, CA 90013. On August 4, 2023, I e-served the document(s) described as **RESPONDENT'S MOTION FOR ABATEMENT; DECLARATION OF ZACHARY MAYER** on the interested parties by serving them in the manner and/or manners listed below:

Sr. Trial Counsels:
Duncan Carling, Esq.
Samuel Beckerman, Esq.
Christina Wang, Esq.
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- ☐ by transmitting via facsimile the document(s) listed above to the fax number(s) set forth below on this date.
- ☐ by placing the document(s) listed above in a sealed envelope with postage thereon fully prepaid, in the United States mail at Los Angeles, California addressed as set forth below.
- ☒ by causing such document to be transmitted by electronic mail to the office of the addressees as set forth below on this date.
- ☐ by causing such document(s) to be sent overnight via Federal Express; I enclosed such document(s) in an envelope/package provided by Federal Express addressed to the person(s) at the address (es) set forth below and I placed the envelope/package for collection at a drop box provided by Federal Express.

I am readily familiar with the firm's practice of collection and processing correspondence for mailing. Under that practice it would be deposited with the U.S. Postal Service on that same day with postage thereon fully prepaid in the ordinary course of business. I am aware that on motion of the party served, service is presumed invalid if postal cancellation date or postage meter date is more than one day after date of deposit for mailing in affidavit.

I declare under penalty of perjury under the laws of the State of California that the above is true and correct.

Executed on August 4, 2023, at Los Angeles, California.


OLGA GORBUNKOVA